

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: FOWLKES ET AL.

DOCKET NO. 011072-0013-999

SERIAL NO: 10/600,003

FILED: 6-18-03

TITLE: "YEAST CELLS ENGINEERED TO PRODUCE PHEROMONE SYSTEM  
PROTEIN SURROGATES, AND USES THEREFOR"

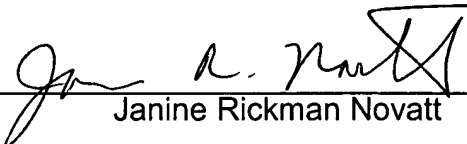
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Weiss, Moy & Harris, P.C.  
4204 N. Brown Avenue  
Scottsdale, AZ 85251

May 13, 2004

I hereby certify that on the 13<sup>th</sup> day of May 2004, this correspondence  
is being mailed to the Mail Stop Missing Parts, Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313 by first class mail, postage prepaid.

  
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Janine Rickman Novatt

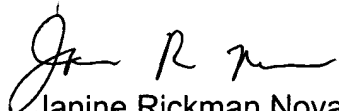
TRANSMITTAL

Dear Sir:

There is an error on the Filing Receipt of the above identified patent application.  
The name of the assignee is incorrect. The correct name should be Cadus Technologies, Inc. rather than Cadius Technologies, Inc. as on the Filing Receipt we received. Please make the appropriate correction and forward the corrected Filing Receipt to us. We are enclosing a copy of the incorrect Filing Receipt for your reference.

Please charge any deficiency or credit any overpayment to our Deposit Account  
No.23-0830.

Respectfully submitted,

  
Janine Rickman Novatt  
Reg. No.32,593  
(480) 994-8888



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/600,003 ✓	06/18/2003 ✓	1645 ✓	0.00	11072-013-999 ✓	11	95	8

CONFIRMATION NO. 6034

20583  
 PENNIE AND EDMONDS  
 1155 AVENUE OF THE AMERICAS  
 NEW YORK, NY 100362711

## FILING RECEIPT



\*OC000000011272704\*

Date Mailed: 11/14/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

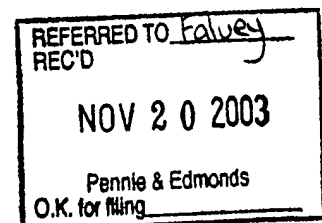
Dana M. Fowlkes, Chapel Hill, NC;  
 Jim Broach, Princeton, NJ;  
 John Manfredi, Ossining, NY; ✓  
 Christine Klein, Ossining, NY;  
 Andrew J. Murphy, Montclair, NJ;  
 Jeremy Paul, South Nyack, NY;  
 Joshua Trueheart, South Nyack, NY;

## Assignment For Published Patent Application

Cadius Technologies, Inc.; ✓  
 Cadius

## Domestic Priority data as claimed by applicant

This application is a CON of 09/286,166 04/05/1999 ✓  
 which is a CIP of 08/322,137 10/13/1994 PAT 6,100,042 ✓  
 which is a CIP of 08/309,313 09/20/1994 ABN  
 which is a CIP of 08/190,328 01/31/1994 ABN  
 which is a CIP of 08/041,431 03/31/1993 ABN



## Foreign Applications

If Required, Foreign Filing License Granted: 11/14/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Yeast cells engineered to produce pheromone system protein surrogates, and uses therefor ✓

**Preliminary Class**

435

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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